



Standard Bank Deposit Sweep Program

Bank List for Non-Natural Persons Effective November 15, 2018

Below are the program banks in the Standard Bank Deposit Sweep Program – Non-Natural Persons.

NOTE: A client’s state of residence determines their Region. Within each Region is the priority bank order for investment of a client’s funds. The table below shows the state mapping for the eight (8) Regions and the respective bank priority order.

Position/Region	Region 1 MA, ME, NH, RI, VT	Region 2 CT, NY	Region 3 AK, CO, HI, ID, KS, MT, NE, NM, NV, OR, UT, WA, WY	Region 4 CA
1	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
2	Bank of Baroda	Bank of Baroda	Bank of Baroda	Bank of Baroda
3	State Bank of India	State Bank of India	State Bank of India	State Bank of India
4	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.
5	First Tennessee Bank, N.A.	First Tennessee Bank, N.A.	First Tennessee Bank, N.A.	First Tennessee Bank, N.A.
6	East West Bank	East West Bank	East West Bank	East West Bank
7	New York Community Bank	New York Community Bank	New York Community Bank	New York Community Bank
8	Cadence Bank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.
9	The Bank of East Asia, Ltd	The Bank of East Asia, Ltd	The Bank of East Asia, Ltd	The Bank of East Asia, Ltd
10	WEX Bank	WEX Bank	WEX Bank	WEX Bank
11	MUFG Union Bank, N.A.	MUFG Union Bank, N.A.	MUFG Union Bank, N.A.	MUFG Union Bank, N.A.
12	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
13	Bank of the West	Bank of the West	Bank of the West	Bank of the West
Excess Banks	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.
2	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.

Position/Region	Region 5 AL, AR, AZ, KY, LA, MO, OK, TX, TN	Region 6 DC, DE, FL, GA, MD, MS, NC, SC	Region 7 IA, IL, IN, MI, MN, ND, OH, SD, WI	Region 8 NJ, PA, VA, WV, Others
1	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
2	Bank of Baroda	Bank of Baroda	Bank of Baroda	Bank of Baroda
3	State Bank of India	State Bank of India	State Bank of India	State Bank of India
4	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.
5	First Tennessee Bank, N.A.	First Tennessee Bank, N.A.	First Tennessee Bank, N.A.	First Tennessee Bank, N.A.
6	East West Bank	East West Bank	East West Bank	East West Bank
7	New York Community Bank	New York Community Bank	New York Community Bank	New York Community Bank
8	Cadence Bank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.
9	The Bank of East Asia, Ltd	The Bank of East Asia, Ltd	The Bank of East Asia, Ltd	The Bank of East Asia, Ltd
10	WEX Bank	WEX Bank	WEX Bank	WEX Bank
11	MUFG Union Bank, N.A.	MUFG Union Bank, N.A.	MUFG Union Bank, N.A.	MUFG Union Bank, N.A.
12	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
13	Bank of the West	Bank of the West	Bank of the West	Bank of the West
Excess Banks	Excess Bank List	Excess Bank List	Excess Bank List	Excess Bank List
1	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.	Capital One (USA), N.A.
2	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.

The order and composition of the Program Bank List may change at any time.

Please consult your HD Vest Advisor with any questions. For complete details on the program please refer to the Standard Bank Deposit Sweep Program – Natural & Non-Natural Persons Disclosure Document.

You may contact your HD Vest Advisor to designate a bank as ineligible to receive funds (otherwise known as "opting out" of a bank). Opting out of a Program Bank will affect the amount of your deposits eligible for FDIC insurance.

Excess Banks will accept funds without limitation and without regard to the Maximum Applicable FDIC Deposit Insurance Amount once funds equal to the Maximum Deposit Amount (as defined in the Bank Deposit Sweep Program Disclosure Document) have been deposited for you through the Bank Deposit Sweep Program in each Bank on the Program Bank List.

It is your obligation to monitor the total amount of deposits that you have at each bank, including through bank accounts, certificates of deposit ("CDs"), and deposits held through other brokers for purposes of reviewing deposits which may be eligible for insurance by the FDIC.

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